

01/25/02

i:921 U.S. PTO

1-28-02

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Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	190-1480
First Inventor	Rowley
Title	Data Lookup
Express Mail Label No.	EL 874 025 443 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status  
See 37 CFR 1.27.
- ☒ Specification [Total Pages (preferred arrangement set forth below) 9]  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]  
a ☐ Newly executed (original or copy)  
b ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
c ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on.  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
- ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.			
Address	LEE, MANN, SMITH, MCWILLIAMS, SWEENEY & OHLSON P. O. Box 2786			
City	Chicago	State	IL	Zip Code 60690-2786
Country	U.S.A.	Telephone	312-368-1300	Fax 312-368-0034

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature	<i>William M. Lee, Jr.</i>	Date	January 25, 2002

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01/25/02

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Rowley
Examiner Name	
Group Art Unit	
Attorney Docket No.	190-1480

TOTAL AMOUNT OF PAYMENT

\$780.00

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
12-0913  
Deposit  
Account  
Name

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR §§ 1.16 and 1.17
- 
- ☐
- Applicant claims small entity status
- 
- See 37 CFR § 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
101	740	201	370	Utility filing fee			740.00
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1)							\$740.00

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	14 -20** = 6 X		0.00
Independent Claims	2 -3** = 0 X		0.00
Multiple Dependent			

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim, if not paid			
109	84	209	42	** Reissue independent claims over original patent			
110	18	210	9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)							\$0.00

\*\*or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Description	Fee Paid
Code (\$)	Code (\$)						
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non - English specification			
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month			
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,280	241	640	Petition to revive - unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	65	Petitions to the Commissioner			
123	50	123	25	Processing fee under 37 CFR § 1.17(a)			
126	180	126	90	Submission of Information Disclosure Statement			
581	40	581	40	Recording each patent assignment per property (times number of properties)			40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
Other fee (specify) _____							

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

**SUBMITTED BY**

Name (Print/Type)

William M. Lee, Jr.

Registration No.

26,935

Complete (if applicable)

Telephone

312-368-1300

Signature

Date

January 25, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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10057330-0125002

Please acknowledge  
receipt of the  
enclosed

New US Patent Application for:

**Rowley**

**DATA LOOKUP**

Serial No. To Be Assigned

Filed Herewith

- 1 Utility Patent Application Transmittal
  - 2 Fee Transmittal for FY 2002 (in duplicate)
  - 3 Assignment Cover Sheet w/Assignment
  - 4 Declaration w/Specification (9 pages), including  
Abstract and Drawings (1 Sheet)
  - 5 Claim for Priority w/attachment
  - 6 Information Disclosure Statement
  - 7 Information Disclosure Statement by Applicant  
w/attachments
  - 8 Check No. 47799 in the amount of \$780.00
  - 9 Express Mail Certificate
  - 10 Return Post Card
- DUE DATE (If Any): NEW APPLICATION  
DATE SENT: January 25, 2002  
WMLJ:tjb

**"Express Mail" mailing label number**

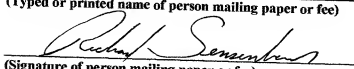
**EL 874 025 443 US**

**Date of deposit: January 25, 2002**

**I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office  
to Addressee" service under 37 CFR 1.10 on the date indi-  
cated above and is addressed to the Assistant Commissioner  
for Patents, Washington DC 20231**

**Richard Sensenbrenner**

**(Typed or printed name of person mailing paper or fee)**

  
**(Signature of person mailing paper or fee)**

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